

A CMOS 256-pixel Photovoltaics-powered Implantable Chip with Active Pixel Sensors and Iridium-oxide Electrodes for Subretinal Prostheses

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A CMOS implantable chip with 256 active pixel sensors (APSs), on-chip photovoltaic cells, and iridium-oxide (IrOx) electrodes is proposed and designed for subretinal prostheses. In the proposed chip, the on-chip electrode surface is deposited with IrOx by RF sputtering and photolithography patterning. The divisional power supply scheme (DPSS) is adopted to generate sufficient stimulation current whereas the APS is used to enhance the image sensitivity. The proposed chip consists of a 16×16 photodiode array with 8 DPSS divisions, control signal generator circuits, and photovoltaic cells. It is designed and fabricated into 180 nm CMOS image sensor (CIS) technology and postprocessed with an IrOx coating. From the electrical measurement results, the fabricated chip has a peak output stimulation current of $16.7 \mu\text{A}$ under the equivalent electrode impedance load. The stimulation frequency is 30.2 Hz and the amount of injected charges at each pixel is 3.5 nC. Both image light sensitivity and injection charges are significantly improved. The surface morphology, crystallinity, charge storage capacity, and biocompatibility of sputtering iridium oxide film (SIROF) were investigated. As a result, the SIROF has desirable physical and electrochemical properties that make it suitable for the neurostimulation electrodes on the 256-pixel implantable chip. The 7-month biocompatibility and charge delivery capability of the fabricated chip have been confirmed by electroretinography (ERG) measurement on a Lanyu minipig in *in vivo* animal tests.

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1. Introduction

Retinitis pigmentosa (RP) and age-related macular degeneration (AMD) are two diseases in which degenerated photoreceptors fail to transfer visual information to other retinal cells in the retinal network. As a result, the patients lose their vision in the late stage. A promising way to restore partial vision for these patients is to implant retinal prosthetic devices and apply electrical stimulation to ganglion cells or other retinal cells so that visual signals can be transmitted to the visual cortex.

Among all proposed retinal prostheses, subretinal and epiretinal implants are of great interest and many structures have recently been proposed.^(1–15) The stimulating electrodes of a subretinal implant are positioned under photoreceptors whereas those of an epiretinal implant are positioned above ganglion cells. In the subretinal implants,^(8–15) passive photodiode array,⁽⁹⁾ active photodiode sensors with current amplifiers,^(8,11,12) or active pixel circuits,^(10,13) have been proposed. Most subretinal implants require a wire through the eyeball to provide power supply.^(13,14) A wirelessly powered subretinal implant is designed with a subdermal coil embedded behind the ear.⁽¹⁵⁾ In Refs. 9, 11, and 12, the optical powering scheme was proposed and the incident light was converted to stimulation currents by photodiodes. Compared with the RF powering schemes, no external battery, direct wire, or RF connection is required. Therefore, the operation wound and surgery complexity can be reduced. However, biphasic current stimulation is not realizable and charge balancing is not guaranteed.

In Ref. 16, a 16×16 self-powered implantable chip was proposed. In the proposed structure, the active pixel sensor (APS) and on-chip photovoltaic cells in CMOS image sensor (CIS) process technology are adopted to increase both light sensitivity and photovoltaic power generation efficiency. The divisional power supply scheme (DPSS) is also used to decrease the overall power consumption during stimulation and increase the power usage efficiency.

The electrode materials used in the implantable neurostimulation systems can be divided into two distinct categories on the basis of the charge transfer mechanism at the electrode interface: (1) capacitive charge injection materials^(17–19) and (2) faradaic charge injection materials.⁽²⁰⁾ In general, electrostimulation by the faradaic mechanism brings about better charge injection performance than that by the capacitive mechanism. Among various electrode materials, iridium oxide (IrOx), a functional ceramic material, can adequately provide the required charges for stimulation through electrochemical reduction and oxidation reactions at the electrode interface without damaging surrounding tissues.⁽²⁰⁾ As a result, advantages such as high charge injection capability, high safety voltage, good stability, and high biocompatibility render IrOx the most promising material for implantable neurostimulation electrodes.

It is known that electrode performance can be improved by applying a surface coating of a high-performance material, and IrOx is the leading candidate for such a material.^(21–25) An IrOx surface coating layer can be formed by several techniques. Among them, IrOx deposited by reactive sputtering has the advantage of high controllability of the film properties by regulating the sputtering conditions.

In this paper, an improved design of the 256-pixel implantable chip is proposed. In the improved design, the on-chip electrode surface is deposited with IrOx by RF sputtering and photolithography patterning. Moreover, the integration capacitance at APS is decreased to increase the light sensitivity. The integrated voltage of APS is sent out through an operational amplifier instead of a simple source follower to reduce the threshold voltage drop and increase the dynamic range. The architecture and circuits of the implantable chip are described in Sect. 2. The IrOx process on electrodes and measurement results are presented in Sect. 3. In Sect. 4, electrical test and *in vivo* test results are described. In the last section, the conclusions are drawn.

2. Chip Architecture and Circuits

2.1 On-chip photovoltaic cells

The layout and cross-sectional views of the on-chip photovoltaic-cell structure with integrated N-channel MOS (NMOS) and P-channel MOS (PMOS) devices are shown in Fig. 1, where a twin-well CMOS CIS technology with a deep N-well (DNW) structure was adopted. The reverse-biased N+/P-well junction with a grounded P-well and surrounding N-well/DNW is adopted as the photodiode sensor, whereas all N+/P-well, P-well/N-well, and P-well/DNW junctions are connected in parallel to form a photovoltaic cell. In the photovoltaic cell, the P-well anode is the power supply V_{DD} while the N+/N-well/DNW cathode is the ground (GND). The power supply V_{DD} is about 0.5 V. Since the P-substrate is kept floating and the N-well/DNW is grounded, the unwanted photocurrent leakage can be avoided. The NMOS transistor in a P-well connected to GND is placed in a DNW connected to V_{DD} so that its P-well body can be isolated from the P-substrate. The PMOS transistor is connected similarly to that in the conventional structure where the N-well body is connected to the highest voltage V_{DD} of the system.

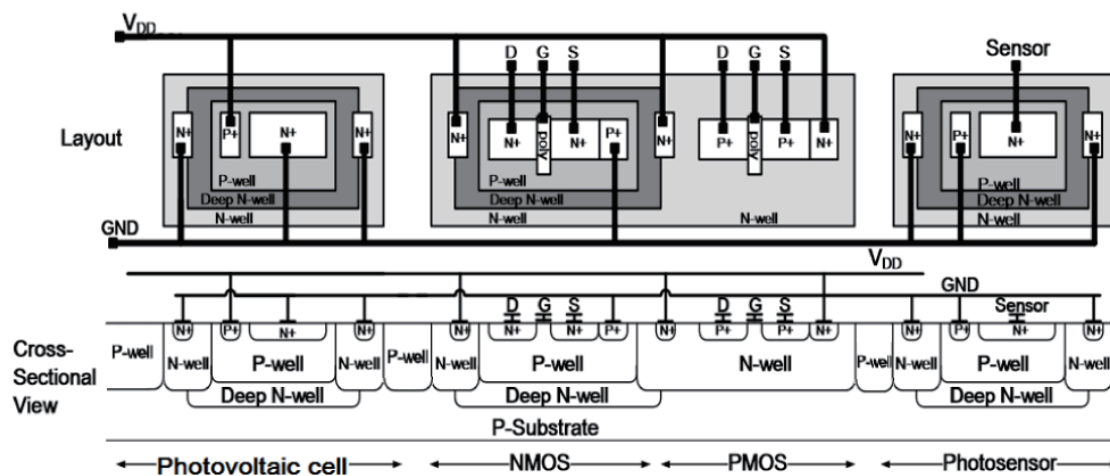


Fig. 1. Layout and cross-sectional view of the proposed photovoltaic cell structure integrated with NMOS and PMOS devices on the same chip.

The layout size of a single photovoltaic cell is $5 \times 5 \mu\text{m}^2$. There is a total of 150769 photovoltaic cells designed on this chip. In the adopted CMOS CIS technology, the silicide process is used to produce a nontransparent silicide layer. Thus, one more mask is needed to block the silicide in the photosensing regions.

2.2 DPSS

It is known that an afterimage can persist approximately 40 ms in the human visual system. This phenomenon is called the persistence of vision.⁽²⁶⁾ On the basis of this phenomenon, the DPSS is adopted to increase the efficiency of photovoltaic power supply usage and increase the stimulation currents. In the DPSS, as shown in Fig. 2, the 256-pixel array is further divided into eight groups that are powered by all photovoltaic cells alternately through a control clock of 30.2 Hz. In one clock period, only the pixels of one group are powered by all on-chip photovoltaic cells to generate the positive stimulation currents. In the next period, those of the same group are powered to generate the negative stimulation currents. Thus biphasic stimulation can be realized. If the pixels of eight groups are stimulated alternately within the time of vision persistence (40 ms), a complete image can be sensed. With the DPSS, the stimulation current could be eight times higher than that when all groups of pixels are powered simultaneously.

2.3 Pixel array and clock generator

The schematic of the pixel array and control-signal generator with the proposed DPSS is shown in Fig. 3. The 256-pixel array is divided into eight groups with 32 pixels each, as marked by numbers in the pixel array shown in Fig. 3(b). As shown in Fig. 3(a), the control signal generator consists of a CMOS ring oscillator, frequency dividers, and combinational logic circuits. The clock frequency is set to 61.85 kHz so that the requirement of vision persistence can be satisfied with the DPSS. The control signal generator generates nonoverlapping eight-phase signals $V_{PH1}-V_{PH8}$ that control switches in the 1st–8th groups.

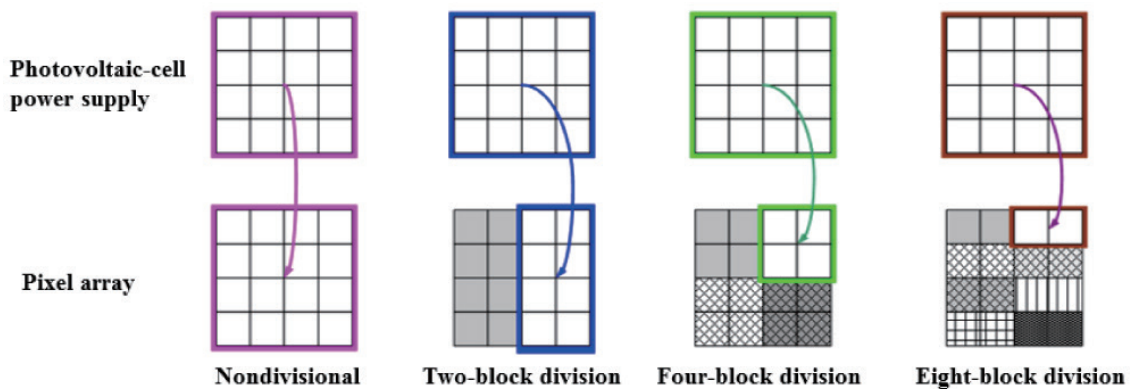


Fig. 2. (Color online) Illustration of DPSS.

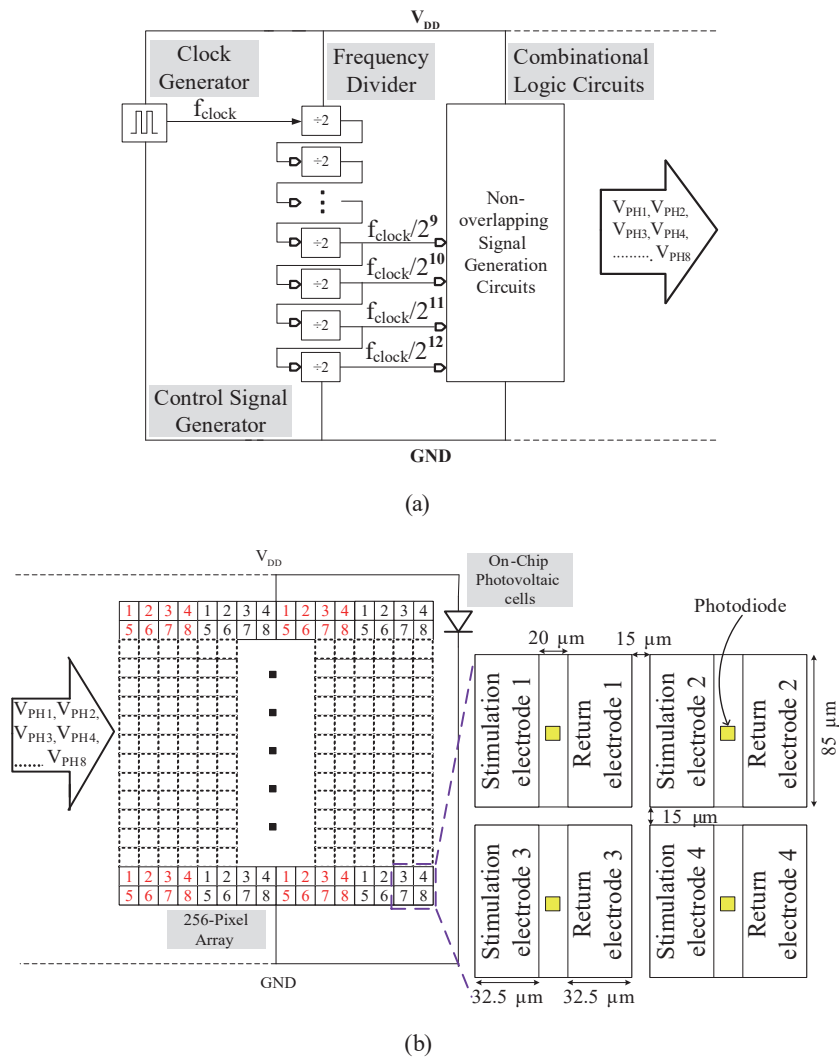


Fig. 3. (Color online) Schematic of (a) control-signal generator and (b) 256-pixel array with the DPSS. The control signal generator generates nonoverlapping eight-phase signals V_{PH1} – V_{PH8} that control the switches in the 1st–8th pixel groups from the 61.85 kHz clock signal f_{clock} .

In each pixel, the photodiode and APS circuit are placed between the stimulating electrode and the return electrode, as shown in the lower right part of Fig. 3(b). Each electrode is a $85 \times 32.5 \mu\text{m}^2$ rectangle and the distance between the two electrodes is $20 \mu\text{m}$. The distance to other electrodes in other pixels is $15 \mu\text{m}$. The electrode structure with local return electrodes exhibits improved field confinement and can elicit a stronger network-mediated retinal response than those with a common remote return.⁽²⁷⁾

2.4 Circuit implementation

In the proposed dual light optical system shown in Fig. 4 where the implanted chip is located in the macular region, the 850 nm IR light from an extraocular optical goggle is

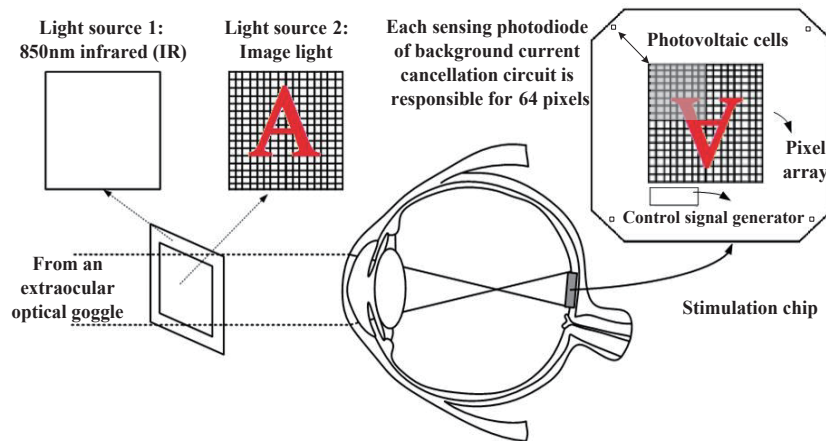
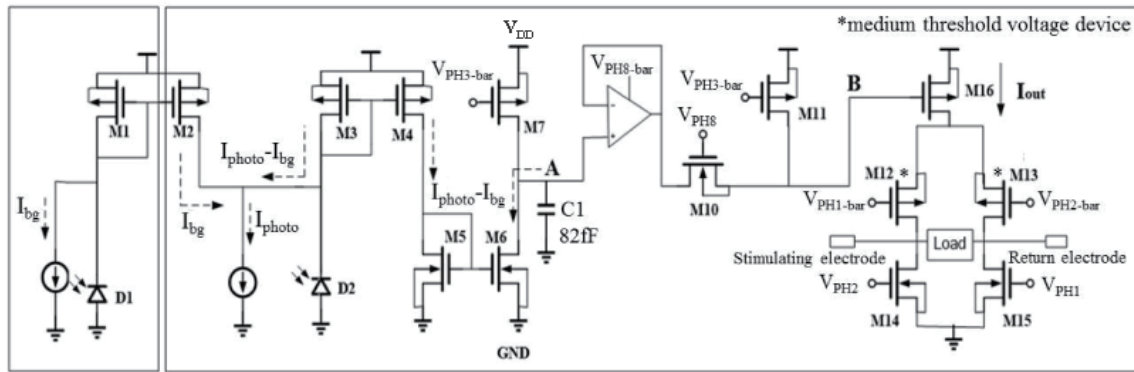


Fig. 4. (Color online) Architecture of dual light sources in the extraocular part and the implanted subretinal chip.

incident mainly on photovoltaic cells, whereas the image light is incident mainly on the pixel array. The sensing photodiode of the background current cancellation circuit is located at the corner of the chip. Each sensing photodiode is responsible for 64 pixels. The APS circuit with the background current cancellation circuit is shown in Fig. 5, where the gate node of M1 is distributed across the 64-pixel subarray. In the APS circuit, photodiode D2 is used to sense the image light and generate the photocurrent. To achieve the biphasic current stimulation, switches M10–M13 with the two nonoverlapping eight-phase clocks (V_{PH1} and V_{PH2} for NMOS, $V_{PH1-bar}$ and $V_{PH2-bar}$ for PMOS) are used. M10 and M11 are medium-threshold-voltage PMOS devices with a threshold voltage of -0.26 V, whereas other PMOS devices are normal with a threshold voltage of -0.488 V. When V_{PH1} (V_{PH2}) is high and $V_{PH1-bar}$ ($V_{PH2-bar}$) is low, M10 and M13 (M11 and M12) are on and I_{out} of M16 realizes the positive (negative) stimulus on the retinal cells. When M10–M13 are off, the pixel is deselected and powered down.

The IR light is incident mainly on photovoltaic cells to generate power for the pixel circuits. However, the undesired photocurrent generated in the photodiodes of pixels by the residual or fringing IR must be cancelled. Thus, a background current cancellation circuit is added to each pixel where photodiode D1 is placed in the photovoltaic-cell array, and it has the same size as the pixel photodiode D2. The generated background current on D1 is mirrored with a suitable ratio through M1–M2 to the cathode of D2 to cancel I_{bg} from the image photocurrent I_{photo} on D2. After the cancellation of the background current, the remaining current $I_{photo} - I_{bg}$ is called the signal current. This signal current is mirrored through M3–M6 and integrated on C1 at node A. Note that the residual intensity of IR on the pixel array should be kept small through suitable optical system design. Thus the background current cancellation can be easily realized. The background current after cancellation must be smaller than the threshold stimulation current of retinal cells.

The timing diagram showing the operation of one group of pixels is shown in Fig. 6. In the initial phase of 6.2 ms, the stimulation currents generated by V_{PH1} and V_{PH2} are not correct. The correct stimulation begins after the reset signal $V_{PH3-bar}$ turns on M7 to reset C1 to V_{DD} and M11 to charge the gate node B of M16 to V_{DD} . After the reset operation, the capacitance



Background current cancellation circuit

Active Pixel Sensor

	M1	M2	M3	M4	M5	M6	M7
Dimensions	5/20	5/20	0.22/20	0.22/20	0.22/20	0.22/20	0.22/0.18
(μm)	$m = 2$	$m = 2$	$m = 1$	$m = 1$	$m = 1$	$m = 1$	$m = 1$
	M10	M11	M12	M13	M14	M15	M16
	0.22/0.18	0.22/0.18	5/0.25	5/0.25	10/0.18	10/0.18	16/1
	$m = 1$	$m = 1$	$m = 1$	$m = 1$	$m = 1$	$m = 1$	$m = 20$

Fig. 5. APS circuit with the background-current cancellation circuit.

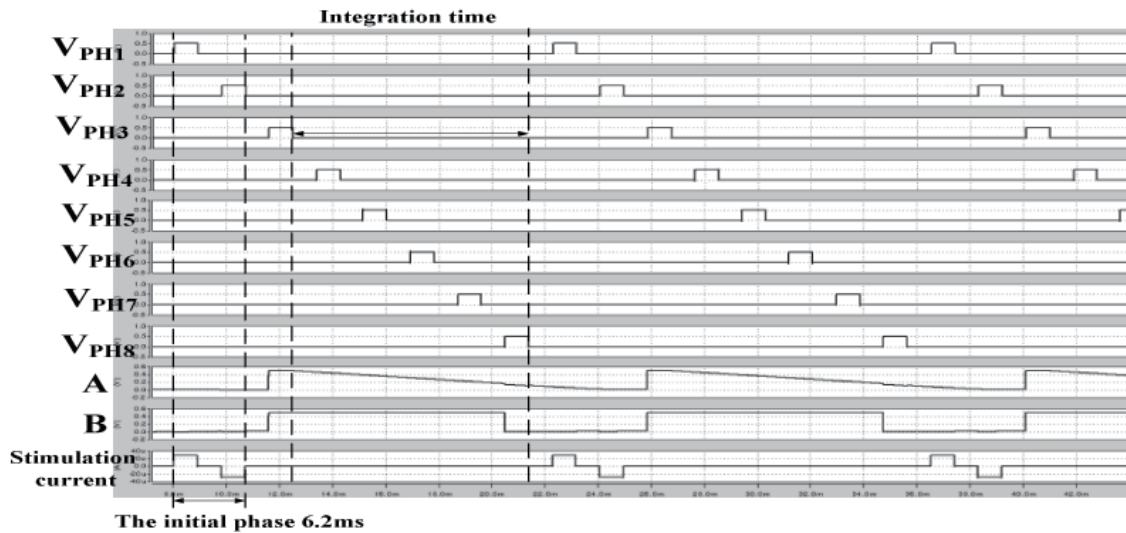


Fig. 6. Timing diagram showing the operation of one pixel group.

voltage at node A is discharged in accordance with the sensed photocurrent. Then the sample signal $V_{PH8-bar}$ turns on the op-amp unity-gain buffer to transfer the integrated voltage to node B while waiting for V_{PH1} and V_{PH2} to achieve the biphasic current stimulation. The op-amp consumes 458 nA when the sample signal $V_{PH8-bar}$ is low in the selected pixel. When $V_{PH8-bar}$ is high, the op-amp is in the power-down mode. The equivalent integration time is also indicated in Fig. 6. Compared with the design in Ref. 16, a smaller integration capacitor C1 (82 fF) is used to increase the image light sensitivity. Also, the op-amp unity-gain buffer

is adopted to eliminate the threshold voltage drop (~ 0.15 V) of the source follower in Ref. 16 to increase the dynamic range.

For the other seven groups of pixels, the operation is similar but with different clock signals. In this way, each group of pixels can function alternately, as shown in Fig. 6 and keep the same integration time.

3. IrOx Process on Electrodes

To deposit IrOx on electrodes of the implantable chip, the process after CMOS chip fabrication is developed. The 256-pixel implantable chip was firstly cleaned with oxygen plasma before the photolithography process. AZ5214-E was utilized as a photoresist to cover the passivation and expose the electrode array. The chip was coated with titanium (Ti) as an adhesion layer and then with IrOx under the optimized sputtering condition.⁽²³⁾ The conditions of coating Ti and IrOx are shown in Table 1. After coating Ti and IrOx, AZ5214-E was removed by acetone at 30 °C. Finally, the edges and bottom of the chip were sealed and protected by biocompatible silicone (NuSil Med-4840) applied by the hot-press process to prevent electrical leakage.

The same sputtering process was also performed on 1×1 cm² glass substrates to deposit Ti and IrOx for further material characterizations. Surface morphology and film thickness were observed under a field-emission scanning electron microscope (FE-SEM; Hitachi SU-8000). A high-resolution X-ray diffractometer (Bruker D2 Phaser) with a 1.54 Å Cu K α target was employed to identify the crystallinity of sputtering iridium oxide films (SIROFs), and the scan rate was 0.05° s⁻¹ from 10 to 90 degrees.

Figure 7(a) shows the optical microscopic (OM) image of the 256-pixel implantable chip with an IrOx/Ti coating on the electrode array. The OM image shows that the selective deposition process by photolithography with the AZ5214-E photoresist was successful. The IrOx/Ti layer was only deposited on the electrode area without covering the area of photodiodes or photovoltaic cells. Figure 7(b) is the cross-sectional SEM image of the IrOx/Ti layer on the glass substrate. The IrOx/Ti layer was 950/50 nm thick on the glass substrate. The depth of the trench on the 256-pixel implantable chip is about 1 μ m and can be filled by sputtering.

Figure 8 presents the XRD patterns of as-deposited and annealed SIROFs. The as-deposited SIROF, displayed in Fig. 8(a), shows broader characteristic diffraction peaks of IrOx, which means the crystallinity of the as-deposited SIROF is inadequate and some defects exist in the film. The crystallinity of the SIROF is greatly improved by the annealing treatment at 450 °C

Table 1
Sputtering conditions of Ti and IrOx coatings.

Parameter	Ti	IrOx
Gas	Ar	O ₂
Gas flow rate (sccm)	20	10
Pressure (Pa)	0.6	1.0
Power (W)	DC 100	RF 200
Time (min)	5	60
Thickness (nm)	50	950

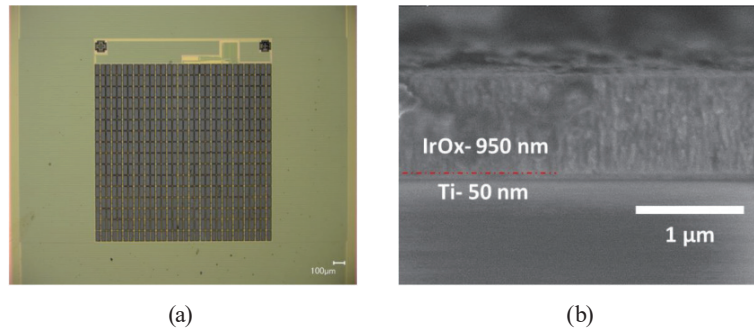


Fig. 7. (Color online) (a) OM and (b) SEM images of the 256-pixel implantable chip after applying IrOx coating.

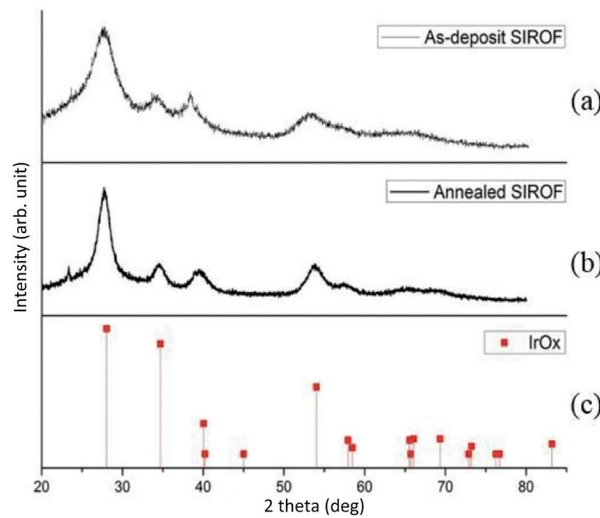


Fig. 8. (Color online) XRD patterns of (a) as-deposited SIROF and (b) annealed SIROF as well as (c) standard IrO₂ patterns from JCPDS 00-043-1019.

for 2 h in air. Figure 8(b) reveals that the SIROF was crystallized by annealing and the resulting SIROF appears to have the rutile structure (rutile IrO₂, JCPDS 00-043-1019). Therefore, the crystallized SIROF is expected to have fewer structural defects and improved properties.

Cyclic voltammetry (CV) was carried out in a 0.1 M phosphate-buffered saline (PBS) electrolyte with a potentiostat. The working electrodes were $1 \times 1 \text{ cm}^2$ of the as-deposited and annealed SIROFs with a thickness of $1 \text{ }\mu\text{m}$. A platinum sheet was used as a counter-electrode and an Ag/AgCl electrode as a reference electrode. The charge storage capacity (CSC) was determined by the integration of cathodic current in a potential window for iridium oxide between -0.6 – 0.8 V at 50 mVs^{-1} . It has become a common practice to characterize the stimulation electrodes by measuring the cathodic CSC. The CSC can be calculated by

$$CSC = \frac{1}{vA} \int_{E_c}^{E_a} |i| dE, \quad (1)$$

where E is the electrode potential, i is the measured current (A), E_a and E_c are the anodic and cathodic potential limits (V), respectively, A is the surface area of the SIROF electrode (cm^2), and v is the scan rate. The CSC value is essentially a relative measurement of the total amount of charge available for a stimulation pulse. It can be considered as a prediction of the charge injection capability for neural stimulation. An ideal stimulating electrode is expected to have a large CSC value. The operating potential must avoid the water electrolysis window, which is -0.6 – 0.8 V vs Ag/AgCl, for the as-deposited and annealed SIROFs. The use of potential higher than this window could be accompanied by significant pH changes and hydrogen or oxygen evolution that could be harmful to the tissue and the implanted electrode integrity.

Figure 9 shows the measured cyclic voltammograms of the as-deposited SIROF (black dashed line) and annealed SIROF (red dashed line). Within the water electrolysis window, the CSC values obtained at 50 mV/s are 50.28 and 17.28 mC/cm^2 for as-deposited and annealed 1 μm SIROFs, respectively. These values imply that both SIROFs potentially have good charge injection performance compared with Pt electrodes that only provide 1.99 mC/cm^2 of CSC.⁽²⁸⁾ The range of CSC values of IrOx is very wide, from 2.8 to 150 mC/cm^2 . In the literature, the deposition conditions of IrOx sputtering strongly influence the resulting CSC.^(21,24) In addition, the CSC value of the as-deposited SIROF was larger than that of the annealed SIROF because the structure became more crystalline, thereby reducing both the faradaic reaction and the CSC value. In fact, we carried out the annealing for materials characterization and the temperature was too high for the DPSS chip. As a result, the CSC value of the annealed IrOx electrode became smaller. Therefore, annealing will not be necessary or applied in the future development.

The biocompatibility was evaluated through the MTT [3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide; $\text{C}_{18}\text{H}_{16}\text{BrN}_5\text{S}$] cell viability test. Both as-deposited and annealed SIROFs over an area of $1 \times 1 \text{ cm}^2$ were prepared for the cell viability test and glass was used

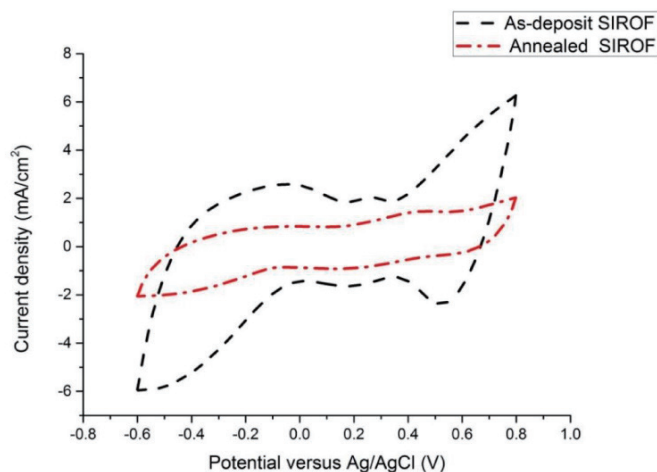


Fig. 9. (Color online) Measured cyclic voltammograms of as-deposited SIROF (black dashed line) and annealed SIROF (red dashed line).

as the control group. The adrenal pheochromocytoma (PC-12) cells were seeded on the SIROF samples and glass in 24-well plates at a density of 50000 cells per well in the presence of Dulbecco's modified Eagle's medium (DMEM, 0.5 mL) containing 10% fetal bovine serum (FBS) and 1% penicillin/streptomycin. The reason why PC12 was used for the MTT test is that PC12 is a neuron-like cell and the application of DPSS chips is for neurostimulation. After incubation for 24 h, the medium was replaced with fresh medium supplemented with MTT (4 mg mL⁻¹,^(28–30) 0.5 mL per well). After another 4 h, the medium containing MTT was removed and dimethyl sulfoxide (DMSO) (0.5 mL per well) was added to dissolve the formazan crystals. Each of the 24 wells was transferred to a 96-well plate. The optical density of the resulting solution was measured with a 595 nm light source using an absorbance microplate reader (Infinite F50, TECAN).^(28–30) The cell viability percentage was calculated by the following formula:

$$\text{Cell viability percentage (\%)} = \frac{OD_{\text{sample}}}{OD_{\text{control}}} \times 100\%. \quad (2)$$

Figure 10 shows the results of cell viability for as-deposited SIROF and is over 100% although there was no statistical significance. It seems that the SIROF provides better biocompatibility than a glass substrate. Thus, it is suggested that the SIROF demonstrates good biocompatibility and potential compatibility for the growth and differentiation of neurons.

4. Electrical Test and *In Vivo* Test

The measurement setup for electrical testing of the fabricated 256-pixel subretinal chip is shown in Fig. 11(a) where an 850 nm IR LED is installed inside the optical instrument to provide the background IR for the on-chip photovoltaic cells. The image light is the visible red light from a 643 nm red LED light source and is incident on the pixel array through a convex focal lens to ensure the location of the spot. The pixel array converts the image light into photocurrents for electrical stimulation. As shown in Fig. 11(a), the red LED light is reflected by two mirrors to project mainly on the pixel array of the chip shown in Fig. 11(b). The IR LED light is reflected by a hot mirror and is projected on the whole chip as the worst-case

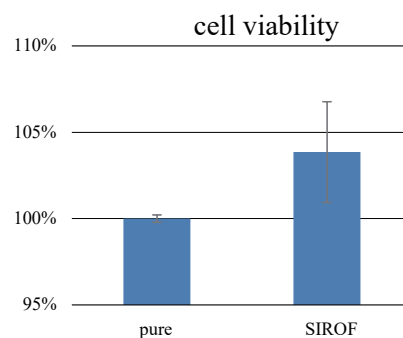


Fig. 10. (Color online) Cell viability of SIROF.

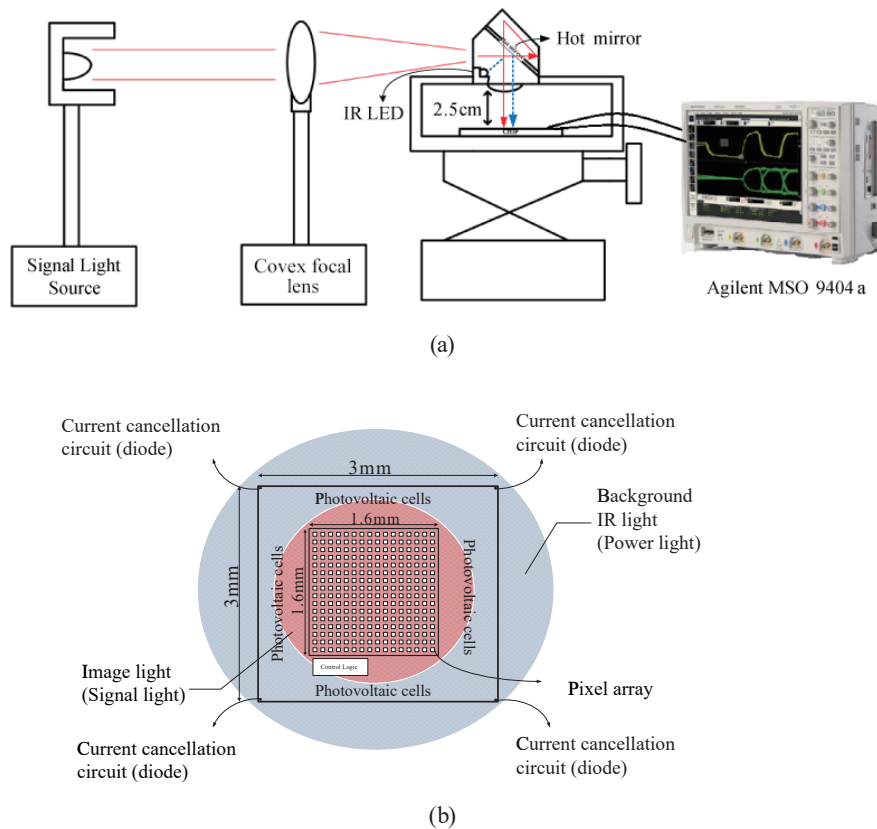


Fig. 11. (Color online) (a) Measurement setup for electrical test with dual light source system. (b) Subretinal chip in dual light source system.

measurement with the strongest background IR intensity. In the measurement of electrical signals, the signal light intensity is 0.4 mW/cm^2 and the intensity of the background IR is 80 mW/cm^2 .

The photocurrent generated from on-chip photovoltaic cells is measured from a test-key chip with 138600 photovoltaic cells connected in parallel. In the measurement setup, an Agilent B1505A power device analyzer is used to measure the I - V curve of photovoltaic cells when the IR LED illuminates the chip through the optical instrument. The total generated current of photovoltaic cells versus IR intensity is shown in Fig. 12. The background IR intensity of 80 mW/cm^2 was chosen to provide the supply current of 1.4 mA. Under the background IR intensity of 80 mW/cm^2 , the maximum current of the sensing photodiode is 576 pA. We choose the signal light intensity of 0.4 mW/cm^2 to provide the signal current of 6.4 pA, which is sufficient to yield the maximum stimulation current.

The electrical test is performed to measure the stimulation current of a single pixel for functional verification. The electrode impedance model is shown in Fig. 13. The measured biphasic stimulation currents are shown in Fig. 14(a) for the case where a load resistor of $10 \text{ k}\Omega$ was connected between the two electrodes. The measured voltage waveform is 199.5 mV, which is equivalent to the stimulation current of $19.95 \text{ }\mu\text{A}$. The stimulation frequency is 30.2 Hz and the amount of injected charges at each pixel is 41.28 nC. The pixel-array power consumption

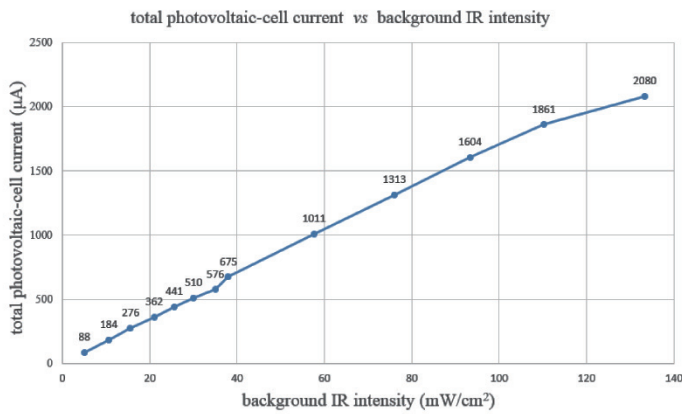


Fig. 12. (Color online) Measured total photovoltaic-cell current under different background IR intensities.

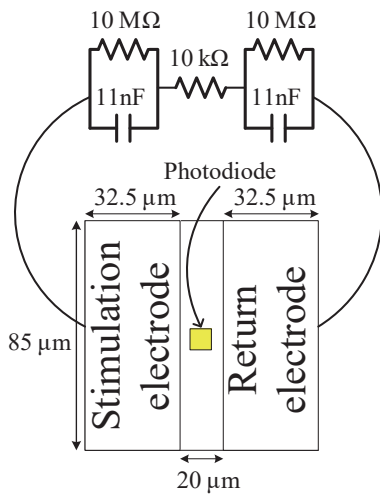
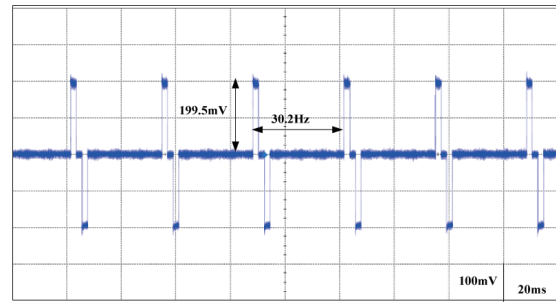
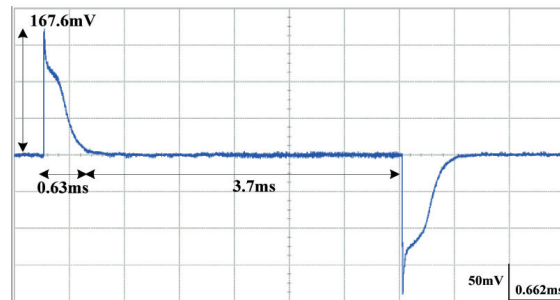


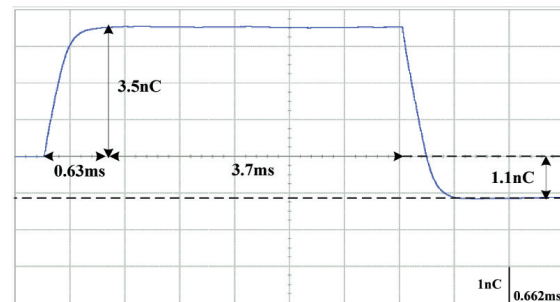
Fig. 13. (Color online) Electrode impedance model.



(a)



(b)



(c)

Fig. 14. (Color online) (a) Measured biphasic stimulation waveforms with a 10 kΩ load between two electrode nodes. (b) Measured biphasic stimulation waveforms with electrode impedance load between two electrode nodes. (c) Measured injected charge under electrode impedance load.

is 638 µW. With the equivalent electrode impedance connected between two electrode nodes, the measured biphasic stimulation waveforms are shown in Fig. 14(b). As seen in Fig. 14(b), the measured peak voltage waveforms is 167.6 mV, which is equivalent to the stimulation current of 16.76 µA. The injected charge at each pixel is 3.5 nC, as shown in Fig. 14(c). In the measurement result, the charge imbalance is 1.1 nC in 34.64 ms, which is equal to 31.7 nC/s. Compared with that in Ref. 31, the measured charge imbalance is much smaller than 10 µC/s. Compared with the other subretinal implant structure without external wired power,⁽¹²⁾ the proposed chip structure realizes biphasic stimulation and has a better image sensitivity because

of the use of the APS technique. Compared with that in Ref. 16, the image sensitivity is 12.5 times higher.

The injected charges through electrodes are 3.5 nC/pixel, which is above the threshold charge of approximately 1 nC per electrode.⁽³²⁾ Since the threshold charges for successful stimulation differ for each patient, the increase in injected charge is necessary. This can be achieved by either increasing the electrode area by using bump electrodes^(22–24) or increasing the stimulation voltage by using charge pump circuits. These improvements will be realized in the future.

In the *in vivo* animal test, one Lanyu minipig was implanted with the retinal chip. The electrodes of the chip were coated with IrOx by RF sputtering. All the procedures were in accordance with the Association for Research in Vision and Ophthalmology's statement for the use of animals in ophthalmic and vision research, and were approved by the Laboratory Animal Care and Use Committee of Taipei Veterans General Hospital (IACUC number: 2015-047) as well as by the Laboratory Animal Care and Use Committee of Pigmodel Animal Technology (AAALAC number: 001636, IACUC number: PIG-104006 & PIG-106008).

The pig was 1.5 years old with a body weight 65 kg. Before the experiment, the pig was tranquilized by intramuscular injection of Azaperonum 3–5 and 0.03–0.05 mg/kg atropine. Then anesthesia was carried out by intramuscular injection of Schotta-50 (Zoletil®-50), at a dose of 3–5 mg/kg. Subsequently, the pig trachea was intubated and inflated with oxygen/nitrous oxide gas (2:1), which was further mixed with 0.5–2% isoflurane, at a rate of 2–3 L/min.

The implantation procedures start with making a scleral opening and a choroidal cut over the eyeball equator externally, and creating an artificial retinal detachment with saline. Then the biocompatible chip is inserted through the sclera-choroid opening and placed in the posterior pole of the eyeball, as shown in Fig. 15. After surgery, sub-Tennon injection of gentamicin and dexamethasone is performed. The pig was individually housed for postoperative care and given aspirin or acetaminophen analgesics.

Two months and seven months after the implantation, electroretinography (ERG) was carried out to verify the function of the chip. The ERG apparatus was RETI-port/scan21 (Roland Consult, Germany) with Ganzfeld Q450SC. The electrode for ERG recording was the Burian-Allen (Hansen Ophthalmic Development Lab., USA) type, and the ERG system was grounded

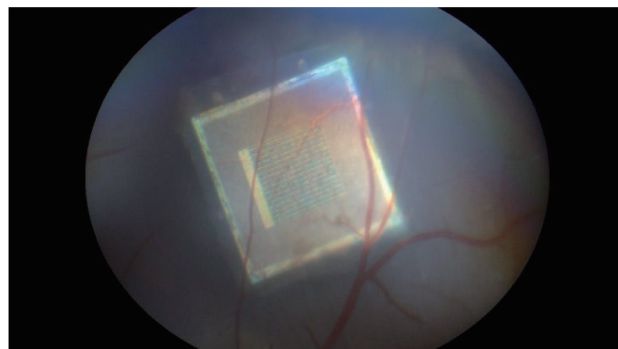


Fig. 15. (Color online) One week after implantation of the 256-pixel implantable chip. Retina is well attached and each pixel of the chip is clearly seen.

with a needle electrode placed subcutaneously in the back.

The intensity of the standard flash is 3.0 cd/m^2 (0 dB, ISCEV standard flash). ERG was carried out after bleaching with 450 cd/m^2 white light for one hour. The ERG measurement conditions were continuous 450 cd/m^2 white light as the background and -20 dB flash white light as the stimulation. Ten serial flashes at intervals of 3 s were applied and the waves were amplified and averaged. The pig was kept for 7 months.

ERG could be triggered and recorded from 2 to 7 months after implantation. There was no significant eye inflammation or adverse event throughout the whole follow-up term of 7 months. ERG could be recorded with a very low intensity of light triggering, such as -20 dB , after light bleaching. In contrast, it could not be recorded in the contralateral eye (the control). There was no significant chip dislocation.

The experimental eye showed a spike response similar to the b-wave, whereas the control eye showed a flat response. Under the ERG recording condition with continuous 450 cd/m^2 white light as the background and with -20 dB flash white light stimulation, two months after chip implantation, the implicit time of the a-wave was 22.3 ms, and the b-wave implicit time was 26.1 ms with an amplitude of $0.77 \text{ }\mu\text{V}$, as shown in Fig. 16. Seven months after implantation, with -15 dB stimulation, the implicit time of the a-wave was 15.3 ms, and the b-wave implicit time was 22.6 ms with an amplitude of $0.95 \text{ }\mu\text{V}$, as shown in Fig. 17. Seven months after implantation, with -20 dB stimulation, the implicit time of the a-wave was 13.2 ms, and the b-wave implicit time was 22.3 ms with an amplitude of $0.82 \text{ }\mu\text{V}$, as shown in Fig. 18. In the control eye with 0 dB stimulation, the implicit time of the a-wave was generally around 14 ms, and the implicit time of the b-wave was generally around 25 ms. It is reasonable that the b-wave amplitudes are small, since the retina area overriding the chip was only a small portion of the whole retina. The S/N in the test was about 5.25 for -15 dB stimulation and 4.75 for -20 dB stimulation.

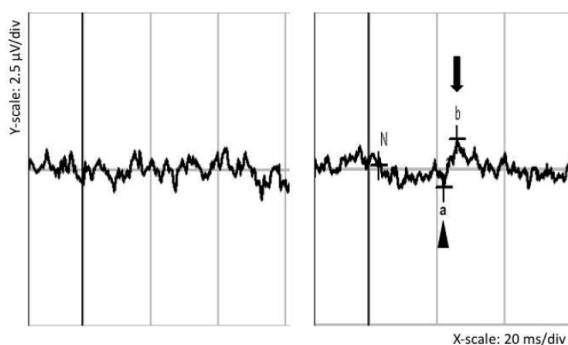


Fig. 16. Right image shows the measured ERG two months after implantation of the 256-pixel implantable chip with -20 dB light stimulation. Left image shows the measured ERG of the contralateral eye as the control with the same stimulation. The implicit time of the a-wave is 22.3 ms. The implicit time of the b-wave is 26.1 ms and the amplitude is $0.77 \text{ }\mu\text{V}$. Arrowhead: a-wave. Arrow: b-wave.

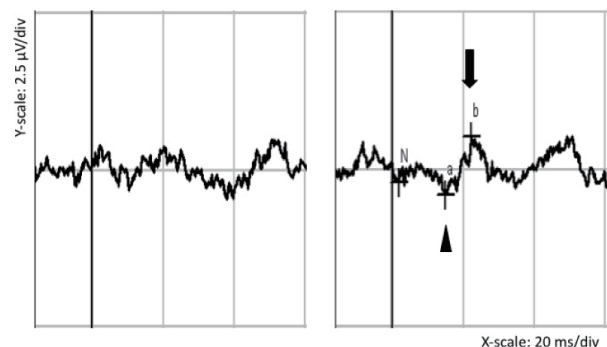


Fig. 17. Right image shows the measured ERG seven months after implantation of the 256-pixel implantable chip with -15 dB light stimulation. Left image shows the measured ERG of the contralateral eye as the control with the same stimulation. The implicit time of the a-wave is 15.3 ms. The implicit time of the b-wave is 22.6 ms and the amplitude is $0.96 \text{ }\mu\text{V}$. Arrowhead: a-wave. Arrow: b-wave.

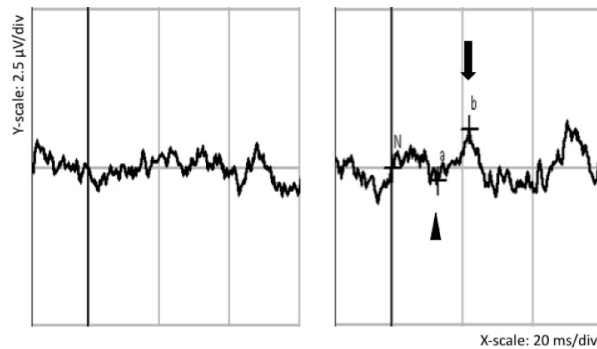


Fig. 18. Right image shows the measured ERG seven months after implantation of the 256-pixel implantable chip with -20 dB light stimulation. Left image shows the measured ERG of the contralateral eye as the control with the same stimulation. The implicit time of the a-wave is 13.2 ms. The implicit time of the b-wave is 22.3 ms and the amplitude is $0.96 \mu\text{V}$. Arrowhead: a-wave. Arrow: b-wave.

In this system, only insignificant a-waves were recorded, because the whole retina was bleached and the triggering light intensity is too weak to induce any a-waves from the retina. After two or seven months' implantation of the subretinal chip, there might be only a few photoreceptors remaining in the overriding retina. The recorded b-wave was triggered from the implanted retinal chip, which could not produce any a-wave.

5. Conclusions

A CMOS self-powered 256-pixel implantable chip with on-chip photovoltaic cells, electrodes with IrOx coating, and APSs was proposed for subretinal prostheses. From the electrical measurement results, it was shown that under a $10 \text{ k}\Omega$ load, the fabricated chip had an output stimulation current of $19.95 \mu\text{A}$. Under the equivalent electrode impedance load, the fabricated chip had a peak output stimulation current of $16.7 \mu\text{A}$. The stimulation frequency was 30.2 Hz and the amount of injected charges at each pixel was 3.5 nC . Both image light sensitivity and injection charges were significantly improved. A successful fabrication process for IrOx film on electrodes via sputtering deposition was developed. The surface morphology, crystallinity, CSC, and biocompatibility of SIROFs were investigated. As a result, the SIROF was found to have desirable physical and electrochemical properties making it a unique candidate for the neurostimulation electrodes in the 256-pixel implantable chip. The chip demonstrated 7-month biocompatibility and charge delivery capability, which were also confirmed by the measured ERG of a Lanyu minipig in the *in vivo* animal tests.

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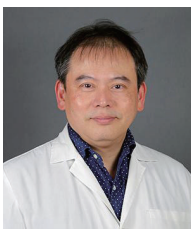
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